

MANSOON TRADING COMPANY LIMITED

CIN : L99999MH1985PLC035905

Regd. Office : Office No. 203, 2nd Floor, M - Space, Next to Minatai Thackeray Blood Bank,

Sitaram Patkar Marg, Goregaon (West), Mumbai - 400 104

E mail : mansoontradingltd@gmail.com · Website : mansoontrading.co.in

29th May, 2024

Deputy Listing Manager

Listing Compliance

BSE Limited

P. J. Tower,

Dalal Street,

Mumbai 400 001

Ref: Scrip Code: 512303

Subject: Annual Secretarial Compliance Report pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Financial Year ended 31st March, 2024

Dear Sir / Madam,

With reference to the Compliance of Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we submit herewith Annual Secretarial Compliance Report for the Financial Year ended 31st March, 2024 duly issued by M/s GIRISH MURARKA & CO., Practicing Company Secretary.

Please take it on record and oblige.

Thanking you.

Yours faithfully,

For Mansoon Trading Company Limited

V. B. Kulkarni

Vikas B. Kulkarni
Managing Director
(DIN-08180938)



GIRISH MURARKA & CO.

Company Secretaries

Ground Floor, Wing "A", Flat No. 001, Bharateeya Kala Mandal C/2, Co-op. Hsg. Soc. Ltd.
Om Nagar, Andheri (East), Mumbai 400 099

Mobile: 98208 21209

Email: girishmurarka@gmail.com

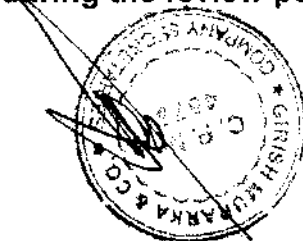
SECRETARIAL COMPLIANCE REPORT OF MANSOON TRADING COMPANY LIMITED (CIN – L99999MH1985PLC035905) FOR THE YEAR ENDED 31ST MARCH, 2024

I, Girish Murarka, proprietor of M/s GIRISH MURARKA & CO, practicing Company Secretary have examined:

- a) All the documents and records made available to us and explanation provided by MANSOON TRADING COMPANY LIMITED ("the listed entity").
- b) The filings / submissions made by the listed entity to the stock exchange – BSE Limited,
- c) Website of the listed entity,
- d) Any other documents / filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31st March, 2024 ("Review Period") in respect of compliance with provisions of:
 - (i) The Securities and Exchange Board of India, Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder and
 - (ii) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI")

The specific Regulations, whose provisions and the circulars / guidelines issued thereunder, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;**(Not Applicable during the review period)**
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;**(Not Applicable during the review period)**



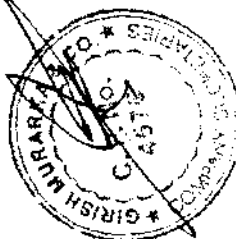
- e) Securities and Exchange Board of India (Share Based Employee Benefit and Sweat Equity) Regulations, 2021;**(Not Applicable during the review period)**
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;**(Not Applicable during the review period)**
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021;**(Not Applicable during the review period)**
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i) Securities and Exchange Board of India (Delisting of Equity Shares) Amendments Regulations, 2016;**(Not Applicable during the review period)**
- j) Securities and Exchange Board of India (Investor Protection and Education Fund) Regulations 2009;**(Not Applicable during the review period)**
- k) Securities and Exchange Board of India (Depository Participant) Regulations, 2018; and circular / guidelines issued thereunder;

We hereby report that, during the Review Period the compliance status of the listed entity is appended as below:

| Sr. No. | Particulars | Compliance Status Yes/No/NA | Observation / Remarks by PCS* |
|---------|---|--------------------------------|----------------------------------|
| 1 | Secretarial Standards: The compliance of the Listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretary of India (ICSI) as notified by Central Government under section 118(10) of the Companies Act, 2013 and mandatorily applicable | Yes | None |
| 2 | Adoption and timely up dation of Policies: *All Applicable policies under SEBI Regulations are adopted with the approval of Board of Directors of listed entities *All the policies are in conformity with SEBI Regulations and have been reviewed & updated on time, as per the regulations/circulars/guidelines issued by SEBI | Yes | None |



| | | | |
|---|--|----------------|--|
| 3 | <p>Maintenance and Disclosure on Website:</p> <p>*The Listed entity is maintaining a functional website</p> <p>*Timely dissemination of documents / information under a separate section on the website</p> <p>*Web-links provided in annual corporate governance report under Regulation 27(2) are accurate and specific which re-directs to the relevant document(s) / section of the website</p> | Yes | None |
| 4 | <p>Disqualification of Director:</p> <p>None of the Director(s) of the Company is / are disqualified under section 164 of Companies Act, 2013 as confirmed by the listed</p> | Yes | None |
| 5 | <p>Details related to Subsidiaries of listed entities have been examined w.r.t.</p> <p>a). Identification of material subsidiary companies.</p> <p>b). Disclosure requirement of material as well as other subsidiaries</p> | Not Applicable | The entity has no subsidiary company. |
| 6 | <p>Preservation of Documents:</p> <p>The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015</p> | Yes | None |
| 7 | <p>Performance Evaluation</p> <p>The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year / during the financial year as prescribed in SEBI Regulations</p> | Yes | None |
| 8 | <p>Related Party Transactions:</p> <p>a) The listed entity has obtained prior approval of Audit Committee for all related party transactions; or</p> <p>b) The listed entity has provided detailed reasons along with confirmation whether the transactions were subsequently approved / rectified / rejected by the Audit Committee in case no approval has been obtained</p> | Not Applicable | The Company has not entered into any related party transaction |



| | | | |
|----|---|----------------------------|-------|
| 9 | Disclosure of events or information: The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI (LODR) Regulations, 2015 within the time prescribed thereunder. | Yes | None |
| 10 | Prohibition of Insider Trading: The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015 | Yes | None |
| 11 | Action taken by SEBI or Stock Exchange(s), if any: No action(s) has been taken against the listed entity / its promoters / directors / subsidiaries either by SEBI or by Stock Exchange (including under the Standard Operating Procedures issued by SEBI through various circular) under SEBI Regulations and circulars / guidelines issued thereunder except as provided under separate (Annexure A) | BSE fine imposed | None |
| 12 | Additional Non-compliance, if any: No additional non-compliance observed for any SEBI Regulation / Circular / guideline note etc. | No non-compliance observed | None. |

Compliance related to resignation of Statutory Auditor from listed entities and their material subsidiaries as per SEBI Circular CIR/CFD/CMD1/114/2019 dated 18th October, 2019:

| Sr. No. | Particulars | Compliance Status Yes/No/NA | Observation / Remark by PCS |
|---------|--|--------------------------------|--------------------------------|
| 1 | Compliance with the following conditions while appointing / re-appointing an auditor | | |
| | i) If the Auditor has resigned within 45 days from the end of quarter of a financial year, the auditor before such resignation, has issued the limited review / auditor report for such quarter or | N.A. | |
| | ii) If the Auditor has resigned after 45 days from the end of a quarter of a financial year, the auditor before such resignation, has issued the limited review / audit report for such quarter as well as the next quarter or | N.A. | |



| | | |
|----------|--|-------------------------------------|
| | <p>iii) If the Auditor has signed the limited review / audit report for the first three quarter of a financial year, the auditor before such resignation, has issued the limited review / audit report for the last quarter of such financial year as well as the audit report for such financial year.</p> | N.A. |
| 2 | Other conditions relating to resignation of Statutory Auditor | |
| | <p>i) Reporting of concerns by Auditor with respect to the listed entity/its material subsidiary to the Audit Committee</p> <p>a) In case of any concern with the management of the listed entity / material subsidiary such as non-availability of information / non-cooperation by the management which has hampered the audit process, the auditor has approached the Chairman of the Audit Committee of the listed entity and the Audit Committee shall receive such concern directly and immediately without specifically waiting for the quarter Audit Committee meetings</p> <p>b) In case the Auditor proposes to resign, all concern with respect to the proposed resignation, along with relevant documents has been brought to the notice of the Audit Committee. In cases where the proposed resignation is due to non-receipt of information / explanation from the Company, the Auditor has informed the Audit Committee the details of information / explanation sought and not provided by the management, as applicable</p> <p>c) The Audit Committee / Board of Directors as the case may be, deliberated on the matter on receipt of such information from the Auditor relating to the proposal to resign as mentioned above and communicate its views to the management and auditor.</p> <p>ii) Disclaimer in case of non-receipt of information:</p> <p>The Auditor has provided an appropriate disclaimer in its audit report, which is in accordance with the Standards of Auditing as specified by</p> | <p>N.A.</p> <p>N.A.</p> <p>N.A.</p> |



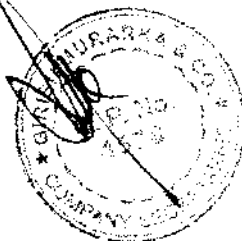
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| | ICAI / NFRA, in case where the listed entity / its material subsidiary has not provided information required by the auditor | | |
| 3 | The listed entity / its material subsidiary has obtained information from the Auditor upon resignation, in the format as specified in Annexure-A in SEBI Circular CIR/CFD/CMD1/114/2019 dated 18 th October, 2019 | N.A. | |

The listed entity has complied with the provisions of the above Regulations and circulars / guidelines issued under, except in respect of matter specified below, during review period.

| S r. N o. | Compliance Requirements (Regulations/ Circulars / guidelines including specific clause) | Regulation/ Circular No. | Deviations | Action Taken by | Type of Action | Details of Violations | Fine Amount | Observation / Remark of Practicing Company Secretary | Management Response | Remark |
|----------------------------|---|--------------------------|------------|-----------------|---|-----------------------|-------------|--|---------------------|--------|
| | | | | | Advisory/ Clarification/ Fine/Show Cause Notice/ Warning etc. | | | | | |
| As per Annexure "A" | | | | | | | | | | |

The Listed entity has taken following action to comply with the observation made in the previous year report.

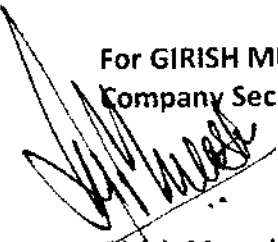
| S r. N o. | Compliance Requirements (Regulations/ Circulars / guidelines including specific clause) | Regulation/ Circular No. | Deviations | Action Taken by | Type of Action | Details of Violations | Fine Amount | Observation / Remark of Practicing Company Secretary | Management Response | Remark |
|----------------------------|---|--------------------------|------------|-----------------|---|-----------------------|-------------|--|---------------------|--------|
| | | | | | Advisory/ Clarification/ Fine/Show Cause Notice/ Warning etc. | | | | | |
| As per Annexure "B" | | | | | | | | | | |



Assumption & Limitation of Scope and Review:

1. Compliance of Applicable laws and ensuring the authenticity of the documents and information furnished are the responsibility of the Management of listed entity.
2. Our responsibility is to report based upon our examination of relevant documents and information. This is neither audit nor an expression of opinion.
3. We have not verified the correctness and appropriateness of the financial records and Books of Accounts of the listed entity.
4. This Report is solely for the intended purpose of compliance in terms of Regulation 24A(2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.

For GIRISH MURARKA & CO.
Company Secretaries


Girish Murarka
Proprietor
ACS – 7036
COP – 4576

Place: Mumbai

Date: 29th May, 2024

UDIN – A007036F000462216

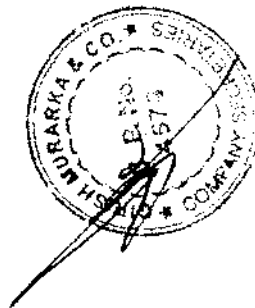
Peer Review No. 2223/2022



The listed entity has complied with the provisions of the above Regulations and circulars / guidelines issued under, except in respect of matter specified below, during review period.

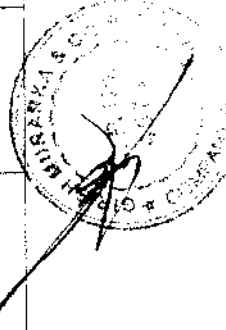
Annexure "A"

| Sr. No. | Compliance (Requirements, Regulation / Circulars / Guidelines, including specific clause) | Regulation / Circular No. | Deviation | Action taken by | Type of Action / advisory / clarification / Fine / Show cause Notice/ warning etc | Details of Violation | Fine Amount in Rupees | Observation / Remark of Practicing Company Secretary | Management Response | Remark |
|---------|---|---------------------------|---------------------------------|-----------------|---|--------------------------------|-------------------------|---|---|---|
| 1 | Regulation 18(1) of SEBI(LODR) Regulations, 2015 | Reg.18(1) | Constitution of Audit Committee | BSE | Fine | Composition of Audit Committee | 1,78,000/- Plus GST 18% | There was error in the date. However as such there was no violation | Waiver Application was submitted to BSE | Waiver application was considered and allowed |
| 2 | Regulation 23(9) of SEBI(LODR) Regulations, 2015 | Regulation 23(9) | Late submission by one day | BSE | Fine | Late submission | 5,000 Plus GST 18% | Late Submission | Late submission | Fine imposed was paid |



The Listed entity has taken following action to comply with the observation made in the previous year report.
Annexure "B"

| Sr. No. | Compliance (Requirements, Regulation / Circulars / Guidelines, including specific clause | Regulation / Circular No. | Deviation | Action taken by | Type of Action advisory / clarification / Fine / Show cause Notice/ warning etc | Details of Violation | Fine Amount in Rupees | Observation / Remark of Practicing Company Secretary | Management Response | Remark |
|---------|--|---------------------------|---|-----------------|---|---|-----------------------|---|---|-----------------------|
| 1 | Regulation 17(1) of SEBI(LODR) Regulations, 2015 | Regulation 17(1) Dec.22 | Composition of Board of Director | BSE | Fine | Composition of Board of Director | 4,60,000 plus GST 18% | Composition of Board of Director | Composition of Board of Director | Fine imposed was paid |
| 2 | Regulation 17(1) of SEBI(LODR) Regulations, 2015 | Regulation 17(1) Mar.23 | Composition of Board of Director | BSE | Fine | Composition of Board of Director | 2,95,000 plus GST 18% | Composition of Board of Director | Composition of Board of Director | Fine imposed was paid |
| 3 | Regulation 17(1A) of SEBI(LODR) Regulations, 2015 | Regulation 17(1A) Dec.22 | No Special Resolution was Mr. S. K. Daga exceeding age of 75 year | BSE | Fine | No Special Resolution was Mr. S. K. Daga exceeding age of 75 year | 1,84,000 plus GST 18% | No Special Resolution was Mr. S. K. Daga exceeding age of 75 year | No Special Resolution was Mr. S. K. Daga exceeding age of 75 year | Fine imposed was paid |
| 4 | Regulation 13(3) of SEBI(LODR) Regulations, 2015 | Regulation 13(3) Dec.18 | Late submission by 3 days | BSE | Fine | Late submission by 3 days | 3,000 Plus GST 18% | Late Submission by 3 days | Late Submission by 3 days | Fine imposed was paid |
| 5 | Regulation 23(9) of SEBI(LODR) Regulations, 2015 | Regulation 23(9) Sept.22 | Late Submission | BSE | Fine | Late submission | 1,90,000 plus GST 18% | Late Submission | Late Submission | Fine imposed was paid |
| 6 | Regulation 33 of SEBI(LODR) Regulations, 2015 | Regulation 33 Mar. 22 | Late Submission | BSE | Fine | Late Submission | 65,000 Plus GST 18% | Late Submission | Late Submission | Fine imposed was paid |



| | | | | | | | | | | |
|---|---|---------------|--|-----|------|--|---------------------|--|--|-----------------------|
| 7 | Regulation 34 of SEBI(LODR) Regulations, 2015 | Regulation 34 | Late submission of Annual Report 2021-22 by 5 days | BSE | Fine | Late submission of Annual Report 2021-22 by 5 days | 10,000 Plus GST 18% | Late submission of Annual Report 2021-22 by 5 days | Late submission of Annual Report 2021-22 by 5 days | Fine imposed was paid |
|---|---|---------------|--|-----|------|--|---------------------|--|--|-----------------------|

